

**DECLARATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
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the Specification of which:

☐ is attached hereto.  
☒ was filed on October 23, 2001 as Application Serial No. 10/014,927.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent, United States provisional application(s), or inventor's certificate listed below and have also identified below any foreign application for patent, United States provisional application, or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
A 727/1999	Austria	23 April 1999	X
(Number)	(Country)	(Date Filed)	Yes/No
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which become available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (Filing Date) (Status)


(Application Serial No.) (Filing Date) (Status)


I hereby direct that all correspondence and telephone calls be addressed to Mark B. Wilson, Fulbright & Jaworski L.L.P., 600 Congress Avenue, Suite 2400, Austin, Texas, 78701, (512) 536-3035.

I HEREBY DECLARE THAT ALL STATEMENTS MADE OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUED THEREON.

Inventor's Full Name:	Andrea	BARTA	
Inventor's Signature:	<i>Andrea Barta</i>		
Country of Citizenship:	Austria	Date:	4.12.01
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Post Office Address: (if different from above)			

Inventor's Full Name:	Sergyi	LOPATO	
Inventor's Signature:	<i>S. Lopato</i>		
Country of Citizenship:	Austria	Date:	10.12.2001
Residence Address: (street, number, city, state, and/or country)	Simmeringer Hauptstraße 118, A-1110 Wien, AT		
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Inventor's Full Name:	Maria	KALYNA	
Inventor's Signature:			
Country of Citizenship:	Ukraine	Date:	17.12.01
Residence Address: (street, number, city, state, and/or country)	Reumannplatz 1/19, A-1100 Wien, AT		
Post Office Address: (if different from above)			

Inventor's Full Name:	Silke	DORNER	
Inventor's Signature:			
Country of Citizenship:	Austria	Date:	18.12.01
Residence Address: (street, number, city, state, and/or country)	Erlachgasse 10, A-1100 Wien, AT		
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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Andrea Barta, Sergyi Lopato, Maria Kalyna, Silke  
Dorner

Additional name(s) of conveying party(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 12/04/01, 12/10/01, 12/17/01, 12/18/01

2. Name and address of receiving party(ies):

Name: Österreichisches Forschungszentrum  
Seibersdorf Gesellschaft M.B.H.

Street Address: Kramergasse 1

City: A-1010 Wien  
AUSTRIA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

10/014,927

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning  
document should be mailed:

Name: Mark B. Wilson  
FULBRIGHT & JAWORSKI, L.L.P.  
600 Congress Avenue, Suite 2400

City: Austin State: Texas Zip: 78701

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41) \$ 40.00



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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark B. Wilson

Name of Person Signing, Reg. No. 37,259

Signature

04/05/02

Date

Total number of pages including cover sheet, attachments and documents: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignment, Washington, D.C. 20231

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Box Assignment, Washington, D.C. 20231, on the date below:

April 5, 2002  
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Mark B. Wilson

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## A S S I G N M E N T

Whereas I/We,     1) Andrea BARTA  
                  2) Sergyi LOPATO  
                  3) Maria KALYNA  
                  3) Silke DORNER

1), 2) and 4) citizen(s) of Austria  
3) citizen of Ukrain

residing at   1) Möwenweg 15, A-2320 Schwechat/Rannersdorf,  
                  Austria  
                  2) Simmeringer Hauptstraße 118, A-1110 Wien, Austria  
                  3) Reumannplatz 1/19, A-1100 Wien, Austria  
                  4) Erlachgasse 10, A-1100 Wien, Austria

has (have) invented certain improvements in

### Splicing Factor

for which I/We am/are about to make application for Letters Patent of the United States on the basis of PCT-Application No. PCT/AT 01/00100 and whereas the firm ÖSTERREICHISCHES FORSCHUNGSZENTRUM SEIBERSDORF GESELLSCHAFT M.B.H., a company organized under the laws of Austria of Kramergasse 1, A-1010 Wien, Austria is desirous of acquiring an interest therein.

NOW, THEREFORE, in consideration of ten dollars, the receipt of which is hereby acknowledged, I/We, by these presents to sell, assign, transfer unto said firm ÖSTERREICHISCHES FORSCHUNGSZENTRUM SEIBERSDORF GESELLSCHAFT M.B.H. the full and exclusive right to the said invention, as described in the specification filed on April 20, 2000 as PCT-Application No. PCT/AT 01/00100.

preparatory to obtaining Letters Patent of the United States therefor; and I/We hereby request the Commissioner of Patents to issue said Letters Patent to said firm ÖSTERREICHISCHES FORSCHUNGSZENTRUM SEIBERSDORF GESELLSCHAFT M.B.H. as the Assignee, for their interest, for the sole use and behoof of said firm ÖSTERREICHISCHES FORSCHUNGSZENTRUM SEIBERSDORF GESELLSCHAFT M.B.H.

I/We authorize and empower the said assignee, their successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection

for said invention filed by them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

x *Andrea Barta*

Andrea BARTA

Date: x 4.12.2001

x *T.R. Skern*

Witness: TIMOTHY ROBIN SKERN

Date: x 4th December 2001

x *S. Lopato*

Sergyi LOPATO

Date: x 10.12.2001

x *Andrea Barta*

Witness: Andrea Barta

Date: x 10.12.2001

x *Maria Kalyna*

Maria KALYNA

Date: x 17.12.2001

x *C. Panuschka*

Witness: CLAUDIA PANUSCHKA

Date: x 17.12.2001

x *Silke Dörner*

Silke DORNER

x *Isold*

Witness: Isold